

ICAP Manual Revisions Related to the Implementation of the Provisional ACL Project

Stacia Wilcox

*Market Product Specialist, Demand Response Product Design
New York Independent System Operator*

**Joint Installed Capacity and Price Responsive Load
Working Groups**

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NYISO, Rensselaer, NY

Agenda

- ◆ **Proposed ICAP Manual Revisions for:**
 - *SCR Change of Status Reporting Procedures*
 - *Clarification for determination of greatest deficiency charge for a single SCR*
- ◆ **DRIS Training for Reporting SCR Change of Status**
- ◆ **Next Steps**

Purpose of Proposed Revisions

- ◆ **As a final step in the implementation of the Provisional ACL project, all required reporting procedures for a SCR Change of Status have been incorporated into the Demand Response Information System (DRIS)**
 - *NYISO will update the procedures related to reporting SCR Change of Status on a separate form to now report all SCR Change of Status information through DRIS*
- ◆ **Provide additional clarification on the determination of the greatest deficiency charge for a single SCR**

SCR Change of Status Reporting Procedures Revisions to ICAP Manual Sections:

- ◆ **4.3.3.2 Reporting SCR Change of Status**
- ◆ **4.3.3.3 Increasing ACL in Conjunction with Change of Status Event Ending within the Same Capability Period as Initiated**
- ◆ **4.12.4.3.2 Decrease to ACL: SCR Change of Status**

4.3.3.2 Reporting SCR Change of Status

- ◆ **Removed all references for submitting the SCR Change of Status form from the text and the table**
 - *All reporting for a SCR Change of Status will occur only through the DRIS*
- ◆ **Reporting SCR Change of Status through the DRIS**
 - *No change to existing procedure for reporting using the SCR enrollment file*
 - *Added procedure for reporting in the DRIS*
 - **DRIS User Guide will also be updated with the step-by-step instructions and screen shots for reporting SCR Change of Status**

No Change in Procedures for Reporting SCR Change of Load

- ◆ **Procedure for reporting of a SCR Change of Load has not changed**
- ◆ **A RIP is still required to submit the SCR Change of Load form directly to the NYISO as described in Section 4.3.3.1, Reporting SCR Change of Load**

Four Scenarios for Reporting SCR Change of Status

- 1. Enrollment is open**
- 2. Enrollment has closed**
- 3. The SCR Change of Status occurred in the past and continues in the current Capability Period**
- 4. The SCR Change of Status continues into the next Capability Period**

Reporting SCR Change of Status – Enrollment is Open

- ◆ When enrollment for a month(s) corresponding to the SCR Change of Status has not closed:
 - *Use existing procedures to report the SCR Change of Status value on the SCR enrollment file in the Shutdown kW field, including any change to the declared value of the SCR*

Reporting SCR Change of Status – Enrollment has Closed

- ◆ When enrollment for a month(s) corresponding to the SCR Change of Status has already closed:
 - *Report the SCR Change of Status in the DRIS*

The SCR Change of Status Occurred in the Past and Continues in the Current Capability Period

- ◆ **Report all past months in the DRIS**
- ◆ **Report all future months on the SCR enrollment file during the next SCR enrollment period**

The SCR Change of Status Continues into the Next Capability Period

- ◆ When the SCR Change of Status is expected to continue into the following Capability Period:
 - *Report all past months within the same Capability Period in the DRIS*
 - *Report all future months within the same Capability Period on the SCR enrollment file*
 - *Indicate the End Date of the SCR Change of Status as being in the following Capability Period in the DRIS*
 - *If enrolling the SCR in the following Capability Period, report the SCR Change of Status and any change to the declared value on the SCR enrollment file upon the initial enrollment of the SCR for that following Capability Period*

4.3.3.3 Increasing ACL in Conjunction with Change of Status Event Ending within the Same Capability Period as Initiated

- ◆ **Removed procedure for reporting using the SCR Change of Status form**
- ◆ **Clarified that reporting a return from a SCR Change of Status, including any corresponding change to the declared value of the SCR, must be done through the SCR enrollment file**

4.12.4.3.2 Decrease to ACL: SCR Change of Status

- ◆ Clarified the procedure used to determine when a SCR with a reported SCR Change of Status may be required to perform in the second performance test in the Capability Period
 - *SCRs with a SCR Change of Status reported after the close of enrollment for the last month of the Capability Period will not be required to perform in the second test*
 - The SCR will continue to be evaluated for a potential shortfall for SCR Change of Status
 - No sanction will be applied for failure to report performance in the second test

Determination of Greatest Deficiency Charge for a SCR

- ◆ **Tariff Section 5.14.2.3 Additional Provisions Applicable to RIPs:** There are three distinct measures of shortfall that are applicable to a RIP, described in this Section 5.14.2.3, where individual SCRs that have been enrolled with a Provisional ACL or an Incremental ACL, or that experience a SCR Change of Status may result in a shortfall. When a RIP is subject to multiple deficiency charges for the same SCR for the same Capability Period, the ISO shall assess to the RIP only the greatest deficiency charge related to such SCR.

- ◆ **Align the ICAP Manual with the Tariff provisions**
 - *4.12.4.2 Provisional Average Coincident Load*
 - *4.12.4.3.1 Increase to ACL: Incremental ACL*
 - *4.12.4.3.2 Decrease to ACL: SCR Change of Status*

Example of Determination of Greatest Deficiency Charge for a SCR

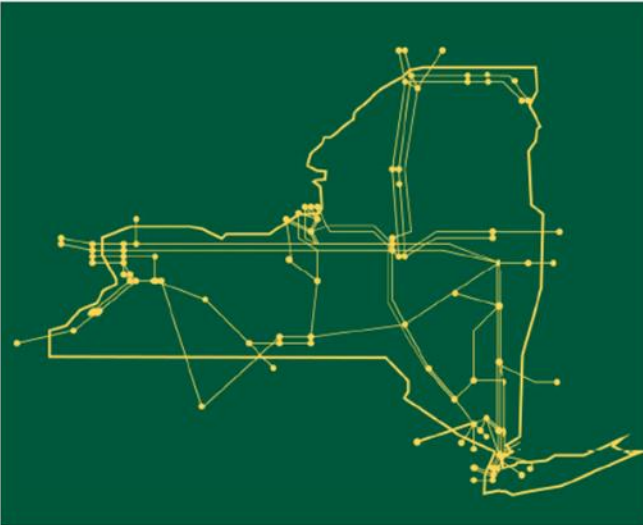
SCR enrolled with both:	Monthly Deficiency Charge \$ based on Shortfall Type						Total Def \$
	May	June	July	Aug	Sept	Oct	
Incremental ACL	\$100		\$100		\$100		\$300
SCR Change of Status			\$200	\$200	\$200		\$600

- ◆ RIP would be assessed the higher of Total Deficiency Charge of \$600 for the SCR
- ◆ The SCR’s capacity obligation and corresponding response would be removed from the RIP Portfolio Performance Shortfall calculation for the months of July, Aug, and Sept

Next Steps

- ◆ **August 20 ICAPWG: Incorporate stakeholder feedback**
- ◆ **September 10 BIC: Vote**
- ◆ **Early October: DRIS Training**

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